Washington State HIIAB November 17, 2005

# Proposed Path for Achieving Health Information Infrastructure in Washington State

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# Proposed Path for Achieving Health Information Infrastructure (HII) in Washington State

- Approaching HII Implementation Options Based on HIIAB Goals
- Current Vision for HII
- Proposed Path for Successful HII Implementation
- N. Applying Evaluation Criteria to the Proposed Path
- v. Implementation Issues in Washington State



## I. Approaching HII Implementation Options Based on HIIAB Goals



### HIIAB System Design Goals

- Achievable
- Consumer/User Centered
- Incremental
- Ensure Security & Privacy
- Process is Inclusive & Collaborative
- Alignment of Incentives

Overall Goal: Anytime, anywhere, complete patient information and decision support



### HIIAB System Design Goals

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### Achievable: Realistic Assessment of Current Situation

- Existing electronic health information
  - Labs
  - Medications
  - Hospitals: some
  - Physician Offices: few
- Financing
  - Stakeholders want others to pay
  - Consumers may accept modest charges
- No institution is responsible for individuals' lifetime medical records



### Incremental: Subset Options

- Institutional --> all information incomplete
- Information subsets (only existing models)
  - Labs
    - Modest benefit
    - Relatively easy to finance
  - Hospital Information
    - Moderate benefit
    - Can be financed (with effort)
  - Medications
    - Substantial benefit
    - Difficult to monetize benefits to finance
- How to expand to include all information?
  - No path to EHR adoption



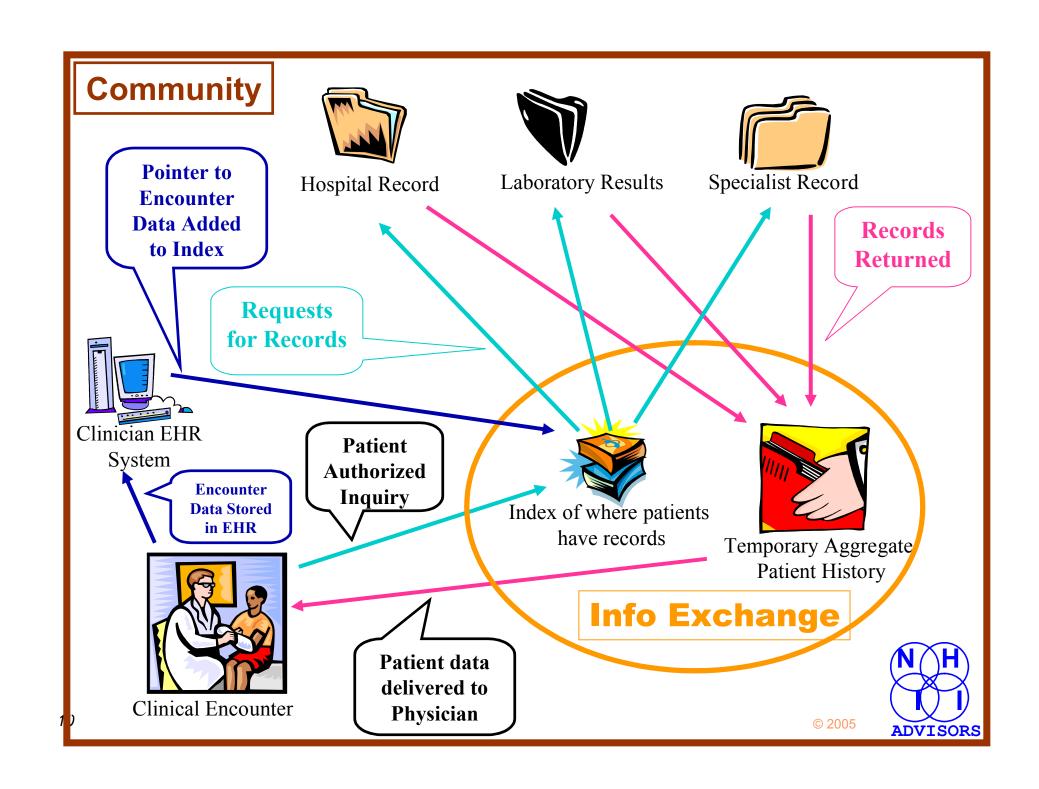
### Alignment of Incentives

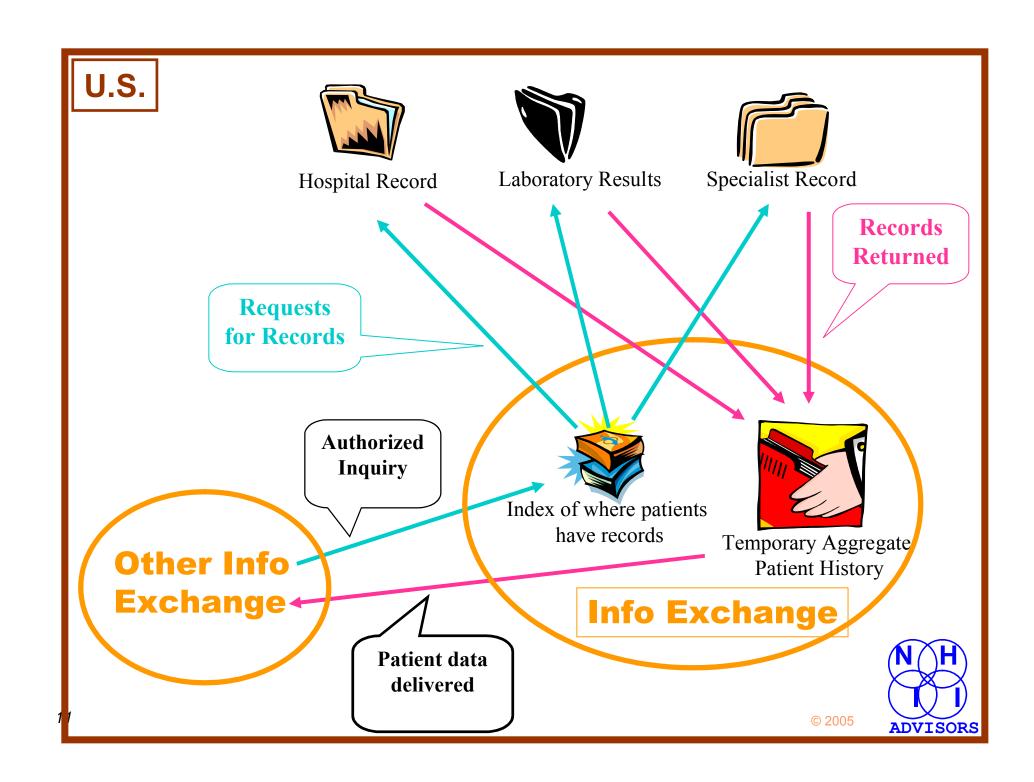
- Physicians must be paid to acquire & use EHRs
- Stakeholders with information must have incentives to share
- Only stakeholder group willing to pay: consumers



# II. Current Vision for Health Information Infrastructure (HII)







### Problems with indexed, distributed community HII

- All health information systems must have query capability [who pays?]
  - Organizational cooperation challenge (esp. for physicians)
  - Maintaining 24/7/365 availability with rapid response time will be operationally challenging (& costly)
- Searching HII repository is sequential (e.g. for research & public health)
- Where is financial alignment & sustainability?



### **Examples of Community Hll**

<u>Name</u>	Data Storage	Financially sustainable?
Spokane, WA	Central	YES
South Bend, IN	Central	YES
Indianapolis, IN	Central	Not yet

Number of operational community HII systems using <u>indexed</u> model: NONE



### III. Proposed Path for Successful HII Implementation: eHealthTrust

- A. Roadblocks in Community HII
- B. Overcoming the Roadblocks
- c. eHealthTrust Advantages
- eHealthTrust Security
- **e** eHealthTrust Governance



### A. Roadblocks to Community Health Information Infrastructure

- Outpatient Electronic Health Record (EHR) use
  - Information not electronic
  - Financial incentives needed
- 2. Financial sustainability
  - Hospitals/Labs will only pay for distribution of their own data
  - No funding for sharing outpatient information
- 3. Patient access & control
  - Absent

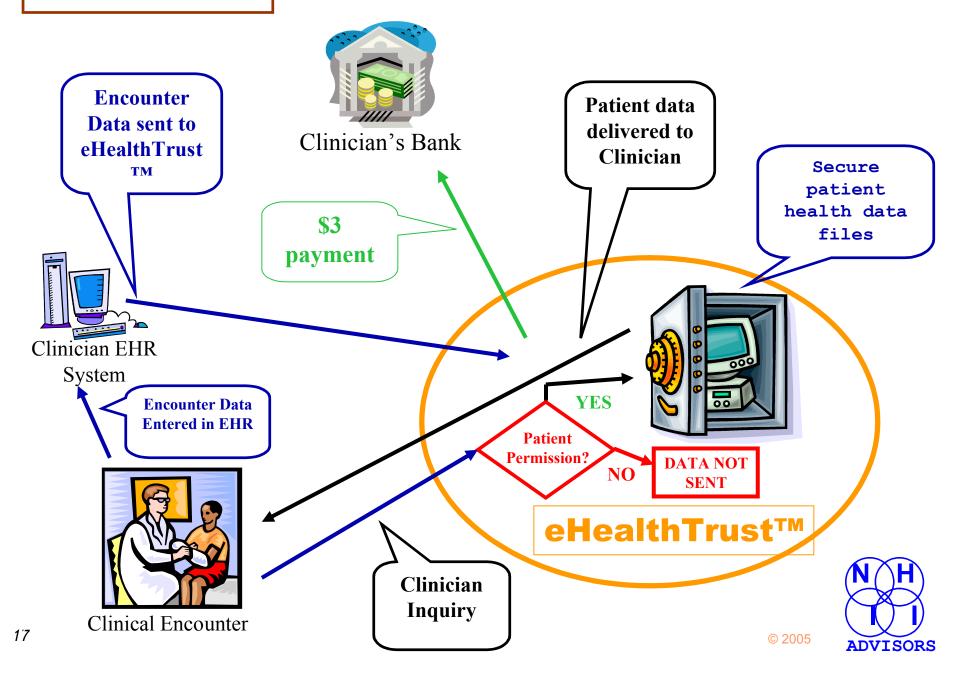


#### B. Overcoming the Roadblocks

- All information for a patient (from <u>all</u> sources) stored in single eHealthTrust "account" controlled by that patient
- Charge \$50-100/year/patient (< \$9/mo)</p>
  - Paid by patient, payer, or purchaser
- All data sources contribute at patient request (per HIPAA)
- Operating Cost < \$20/year/patient</p>
- Payments to clinicians for submitting standard electronic clinical info provides incentives for EHR acquisition (~\$2-4/encounter)\*\*



#### **eHealthTrust**™



### Health Information Infrastructure Roadblocks Removed

- 1. Outpatient EHR use
  - Financial incentives provided
  - 20 pts/day --> \$10-20,000/year
  - Rapid EHR\* adoption
- 2. Financial sustainability
  - Low cost to purchasers/patients
    - Simplicity --> low cost
  - Real benefits
- 3. Patient access & control
  - Total



### C. eHealthTrust Advantages

- Rapid Response Time
  - All patient information in one place
- Works Regardless of Patient Location
  - Internet access: secure web portal
  - Patient has "ATM-like" card that directs any provider to the complete record
- No Complex Interfaces to Other Communities or eHealthTrusts
- Easily Integrated with
  - Patient-entered information
  - Patient education information
  - Patient reminders
  - Patient-provider electronic communication
- Provides for Public Health and Research
  - Selective reporting to public health when new information received
  - Searchable database (with patient permission) for research



### C. eHealthTrust Advantages (cont.)

- Cooperation Assured
  - Unifying; HIPAA mandates information on patient request
- Complexity Minimized
  - Each information holder relates only to eHealthTrust
  - Interoperability problems greatly reduced
- Privacy/Confidentiality Addressed
  - Patient controls all access to his/her info
- Complete Financial Model Defined
  - Source of funding clear
  - Low cost (1% of health care costs)



### C. eHealthTrust Advantages (cont.)

- Promotes Gradual Standards Adoption
  - Initial standard enforced through patent
  - Reimbursement policy can improve standard over time (e.g. to increase coding)
- Provides Transition from Paper Records
  - Fax images of paper records stored
  - Metadata facilitates some indexing
- Simple IT Design
  - Greatly reduces costs
  - No new technology
- Immediate Realization of Benefits
  - Each eHealthTrust member gets immediate benefit from complete records
  - Benefits not contingent on critical mass (except EHR incentives)



### D. eHealthTrust Security

- Clinical server ("cubbyhole server")
  - Ultra-secure "separation kernel"
    - Subset of secure operating system
    - Each user has hardware-enabled "virtual machine" that cannot impact others
  - Only operation is retrieval of one record
    - User then logged off
  - No searching possible
  - No database software
- Research server has copy of clinical data
  - No phone lines or network connections
  - Consumer permission required for searching
    - Bulk of searching revenue --> consumer
  - Access requires physical presence

#### E. eHealthTrust Governance

- New community non-profit organization
  - All stakeholders represented
  - Independent privacy/confidentiality oversight
  - Public accountability
- Technology provider options
  - Internal to non-profit
  - External for-profit contractor(s)
- Community is self-defining
  - Large enough for critical mass
  - Small enough to be manageable



### IV. Applying Evaluation Criteria to the eHealthTrust

- A. HIIAB Goals
- **B.** HII Evaluation Criteria
- c. Consumer Principles for System Design



#### A. HIIAB Goals

- Achievable
- Consumer/User Centered
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#### **B. Hll Evaluation Criteria**

#### Requirements

- Privacy
  - Need-to-know access
  - Patient trust
  - Patient access control
- Transition from paper records
  - Availability of paper records
  - Incentives for clinician EHRs
- Access to information
  - Access at point-of-care
  - Integration of all patient info
  - Standard encoding of all patient info
  - Public health reporting
  - Availability of info for research & knowledge management
- Incremental Steps
  - Initial small project
  - Expandable
- Universal Availability
  - Availability to all
  - Voluntary participation

#### Feasibility

- User Acceptance
  - Easy to use
  - Clear & immediate benefits
  - Compatible with workflow
- Stakeholder acceptance
  - Provides real value (ROI)
- Technical
  - Simple to implement
  - Done successfully before NO
  - Rapid deployment
  - Simple to maintain

#### Financing

- Building the System WHO PAYS?
  - Initial cost
  - Availability of funds
  - Reliability of cost estimates
- Sustaining the System
  - Ease of allocating costs
  - Likelihood of continuing financial support
  - Maintenance & operations costs adapt to new technology
  - Stability of financial model



### C. Consumer Principles for System Design

- 1. Consumers have access to their information
- 2. Consumers control access to their information
- Consumers may delegate access control
- 4. Consumers are informed about how their data may be used/shared
- 5. Consumers may review names of entities that have had access to their information
- Information integrity, security, privacy, and confidentiality is protected
- System has independent oversight
  - Accountable to public
  - Full voting participation of consumers



### V. eHealthTrust Implementation Issues in Washington State

- Startup costs: about \$5 million
- Breakeven at \$4.95/month subscription fee
  - Phase I: No EHR incentives
    - 130,000 subscribers [2.1% of state]
  - Phase II: EHR incentives with local marketing
    - 170,000 subscribers
- Logical Phase II pilot communities:
  - Spokane
  - Whatcom County
- Possible sources of initial financing of Phase I
  - Purchasers (employers)
  - State appropriation
  - Grant(s)



## SUMMARY: The eHealthTrust Path to Achieving Health Information Infrastructure

- Central Community Repository
- Paid for and Controlled by Patients
- III. Solves Key Problems
  - Privacy assurance for consumers
  - EHR incentives for physicians
  - Financial sustainability
  - Cooperation by health care institutions
  - Adoption and gradual improvement of standards
  - Minimal startup costs (\$5 million)

#### **Questions?**

For more information: www.ehealthtrust.com

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